

IS 470: Authoritarian Environmentalism in China

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Section 01: 1300-1350 MW, 1315-1405 F in 448 SSH

Office Hours: 1000-1100 MW, 1000-1200 Tu in 433 SSH or by appointment

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Office Hours

I will hold office hours on Mondays and Wednesday from 1000 to 1100 and Tuesdays from 1000-1200. You can also make an appointment to meet in person, by phone or, preferably, by e-mail. Please do feel free to stop by with any questions or concerns you may have. I will post my schedule for the term on my office door so you will know when I am in class, prepping to teach, writing, or in meetings. If you have quick questions, you can text me at the mobile phone number above or message me on my Slack channel at any time.

Course Goals

In this course, we evaluate the contention that the People's Republic of China centralized system of governance is best suited to address the environmental challenges of the twenty-first century. Proponents of "authoritarian environmentalism" contend that the leaders of a political system, insulated from popular will, possess the ability to make unilateral decisions that are needed to combat long-term issues, such as air and water pollution, and implement reforms. As we progress through this course, however, we will find that there are numerous scholars who call this argument into question. We proceed by taking stock of the environmental problems that China faces, before learning about the legal and political frameworks through which policy is made and enforced. Next, we observe how the central and local governments in China confront environmental issues to varying degrees of success. We also consider the different challenges urban and rural residents face, and the impetus for "green" movements that have emerged over the last decade. Finally, we learn of the external forces that have influenced the path China has taken, before concluding with extensive discussions of student research. All told, China's leaders may have an understanding of *what* environmental threats they face, but they are frequently stymied in *how* they approach addressing these problems; a failure to curb the dangers of environmental degradation can not only put citizen lives in jeopardy but also undermine the Chinese Communist Party's legitimacy as the ruling power and moral force at the helm of the Chinese state.

Course Sites and Texts

Lecture notes, links to the readings, discussion forums and the most up-to-date copy of the syllabus will be posted on Canvas.

There are no texts for purchase. Almost all of the readings below are available through online databases, such as EBSCO or JSTOR. A very small number are from my personal collection. I will post all of these readings to the course website on Canvas to save you the time of searching. I encourage you to either print out these articles and take notes or download them to your laptops/tablets. Plan on bringing the readings, or your detailed notes, to class on the assigned day.

Requirements and Grading

Journal

To make sure you stay on top of the reading and listening load, I require you to submit journal reactions for each class. During individual presentations, you will submit a journal once a week. The goal is not to summarize but to demonstrate that you have done the reading or listened to the podcasts by connecting themes of the class together and posing questions for further discussion.

You should write at least 400 words in your entry; this can include questions for discussion in class later that day. I will only count the top 26 grades; this should give you six “passes” where, if you skip the assignments, you will not be penalized. Do remember you are responsible for all readings and assignments, even on a day that you do not submit an entry.

I will primarily be looking to see that you completed the assigned articles/podcasts and are addressing issues from across the entirety of the article(s) for the day. I will also be looking for discussion questions to use in class. You can discuss the readings and podcasts with your classmates, but it will be considered an honor violation if you look over someone else’s journal entry before writing your own.

You will start entering journal posts on 18 January. The journal entries will be graded as follows:

- Check-plus (9.5 out of 10): A well-thought out response to the reading that both demonstrates an understanding of the issues discussed and poses questions that encourage us to take analytical steps.
- Check (8.5): A good discussion of the issues from the reading or podcast, with questions raised about the authors’ aims.
- Check-minus (7): A response that mentions some important parts of the article(s) under discussion. The student has not demonstrated that they have read the article or processed the podcast completely or accurately, and questions are too vague for use in class discussion.
- Zero: Either the entry was not turned in or presented no evidence that the student had completed any meaningful part of the reading.

In total, the journals will count as **30%** of your final grade. **Do stay on top of these; there will be no make-ups and no exceptions available.** Budget out your passes in advance. **These journal responses are due every class day, where a reading is assigned, by 1000.** You will turn in your responses to the week’s readings and discussions during the student research section of the course, from 10 April to 3 May, by each Friday at 1000.

Research Paper

You will complete a research paper on a topic of your choosing that will be worth, in total, **40%** of your grade. This project is a way for you to synthesize knowledge around an issue or academic discussion that interests you. You may choose from the topics in this course, or you may pursue a different line of thought. This research paper will be completed in five stages:

1. First, you must submit a 600-word paper proposal to me in class. This should outline a preliminary question you might want to address in your research paper. At the very least, you should discuss in detail the themes that most interest you. **This proposal will be due by CAD on Friday, 8 February.** After turning in this proposal, we will meet individually to review the parameters of the proposal and work out any potential problems that arise.
2. Next, you will submit a first draft of the literature review from your paper that informs the analysis of your research topic. You should answer the question you proposed for study as completely as possible, using appropriate sources in the literature; it should be about 1500 words long and you should review, at the very least, 12 sources. **This draft will be worth 10% of your grade and will be due by**

CAD on Friday, 1 March. I will review drafts and turn these back to you later in March. If you have any questions about how to approach writing a literature review, please come see me – and do pay close attention to the articles we read, as many of them offer examples of proper reviews.

3. You will turn in a draft of your research paper, including your revised literature review, on 29 March. This draft should be about 3500 words long. I will review your drafts and make extensive comments.
4. When you turn in your draft, please select one to two of our sources for the class to read. I will then make them available to the class ahead of a presentation you will give you on your research during the last three weeks of the semester. Your assignment of a useful source, as well as your presentation and discussion, will be graded on both quality and preparedness, making up 10% of your grade. **We will hold these presentations from 10 April until 3 May.** *We may need to hold some of these sessions during Dean's Evening Lecture period.*
5. **You will turn in your final, completed paper (20%) by CAD on the last day of classes, Friday, 3 May.** The total length of the paper should fall between 4000-4500 words.

Paper Guidelines

- Make sure you reference Appendix C for guidance on formatting; we use *Chicago Style* in the International Studies & Political Science department.
- Please include a word count, not including your works cited, in your help received statement.
- Please read my comments from your drafts!
- Absolutely no quotes from other papers. Put everything in your own words with proper citations. Papers that include quotes, or do not include the word count, will be given an automatic zero.
- Late Policy: You will lose 10 points for each day the paper is late. This includes your draft.

Final Exam:

Your final examination will take place on Saturday, 11 May at 0830. It will be worth **30%** of your grade.

Accommodations and Other Matters:

In order to protect your privacy please make an appointment to see me outside of class for questions about academic honesty, accommodations for disabilities, and grades. I am always happy to help you in any way I can. Also, assignments are due as noted in the syllabus. If you need accommodations or have any conflicts, please let me know ASAP. I will take off 10 percentage points per day late on assignments submitted after the due date, except for the journals, which will *not* be accepted late.

Schedule

Read the assignments *for the day they are listed*

Week 1: 14 January - 18 January

Wednesday: Introduction to the course

Friday: Background: The Mao Era

1. Ho, Peter. "Mao's War against Nature? The Environmental Impact of the Grain-First Campaign in China." *The China Journal* 50 (July 2003): 37-59.
2. Wemhauer, Felix. "Dealing with Responsibility for the Great Leap Famine in the People's Republic of China." *The China Quarterly*. 201. (2010): 176-194.

Week 2: 21 January - 25 January

Monday: Background: The Reform era

1. Ross, Lester. "The Implementation of Environmental Policy in China: A Comparative Perspective." *Administration & Society* 15 no. 4 (February 1984): 489-516.
2. Jahiel, Abigail R. "The Contradictory Impact of Reform on Environmental Protection in China." *China Quarterly* 149 (March 1997): 81-103.

Wednesday: Framework: Environmental Authoritarianism

1. Beeson, Mark. "The Coming of Environmental Authoritarianism." *Environmental Politics* 19 no. 2 (March 2010): 276-294.
2. Cao, Xun, Helen V. Milner, Aseem Prakash, and Hugh Ward. "Research Frontiers in Comparative and International Environmental Politics: An Introduction." *Comparative Political Studies* 47 no. 3 (2017): 291-308.

Friday: Framework: Authoritarian Environmentalism

1. Gilley, Bruce. "Authoritarian Environmentalism and China's Response to Climate Change." *Environmental Politics*. 21 no. 2 (March 2012): 287-307.
2. Wu, Jing, Yongheng Deng, Jun Huang, Randall Morck, and Bernard Yeung. "Incentives and Outcomes: China's Environmental Policy." NBER Working Paper No. 18754, February 2013.

Week 3: 28 January - 1 February

Monday: Framework: Authoritarian Environmentalism Undermined?

1. Eaton, Sarah, and Genia Kostka. "Authoritarian Environmentalism Undermined? Local Leaders' Time Horizons and Environmental Policy Implementation in China." *China Quarterly*. 218. (2014): 359-380.
2. Wang, Alex. "Chinese State Capitalism and the Environment." UCLA School of Law, Public Law Research Paper No. 15-52, April 2015.

Wednesday: Environmental Politics

1. Ran, Ran. "Understanding Blame Politics in China's Decentralized System of Environmental Governance: Actors, Strategies and Context." *The China Quarterly*. 231. (2017): 634-661.
2. Eaton, Sarah, and Genia Kostka. "What Makes for Good and Bad Neighbours? An Emerging Research Agenda in the Study of Chinese Environmental Politics." *Environmental Politics* 27 no. 5 (2018): 782-803.

Friday: The Legal System and the Media

1. Stern, Rachel E. "From Dispute to Decision: Suing Polluters in China." *China Quarterly*. 206. (2011): 294-312.
2. Geall, Sam. "Access to Environmental Information in China: Transparency, Participation and the Role of Media." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 248-262. London: Routledge, 2017.

Week 4: 4 February - 8 February

Monday: Bureaucracy and Governance

1. Wang, Alex. "The Search for Sustainable Legitimacy: Environmental Law and Bureaucracy in China." *Harvard Environmental Law Review* 37 (2013): 365-440.
2. Lo, Kevin. "How Authoritarian is the Environmental Governance of China?" *Environmental Science & Policy* 54 (2015): 152-159.

Wednesday: Environmental Policymaking

1. Kostka, Genia. "Command without Control: The Case of China's Environmental Target System." *Regulation & Governance* 10 (2016): 58-74.
2. Qin, Tianbao, and Zhang Meng. "Development of China's Environmental Legislation." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 17-30. London: Routledge, 2017.

Friday: Political Economy of the Environment in China

Final Paper Topic Proposal Due

1. Lo, Alex Y. "Active Conflict or Passive Coherence? The Political Economy of Climate Change in China." *Environmental Politics* 19 no. 6 (2010): 1012-1017.
2. Johnson, Thomas. "Good Governance for Environmental Protection in China: Instrumentation, Strategic Interactions and Unintended Consequences." *Journal of Contemporary Asia* 44 no. 2 (2014): 241-258.

Week 5: 11 February - 15 February

Monday: Central Decisionmaking

1. Eaton, Sarah, and Genia Kostka. "Central Protectionism in China: The 'Central SOE Problem' in Environmental Governance." *China Quarterly* 231 (September 2017): 685-704.
2. Beeson, Mark. "Coming to Terms with the Authoritarian Alternative: The Implications and Motivations of China's Environmental Policies." *Asia & the Pacific Policy Studies* 5 no. 1 (January 2018): 34-46.

Wednesday: Centralization and its Effects Today

1. Zhang, Xueha. "Select Implementation of Pollution Control Targets in China: Has a Centralized Enforcement Approach Worked?" *The China Quarterly*. 231. (2017): 749-774.
2. Kostka, Genia, and Chunman Zhang. "Tightening the Grip: Environmental Governance under Xi Jinping." *Environmental Politics* 27 no. 5 (2018): 769-781.

Friday: Regional and Local Constraints

1. Kostka, Genia. "Environmental Protection Bureau Leadership at the Provincial Level in China: Examining Diverging Career Backgrounds and Appointment Patterns." Working paper series // Frankfurt School of Finance & Management, No. 174, September 2011.
2. Eaton, Sarah, and Genia Kostka. "Does Cadre Turnover Help or Hinder China's Green Rise? Evidence from Shanxi Province." Working Paper Series, Frankfurt School of Finance & Management, No. 184, January 2012.

Week 6: 18 February - 22 February

Monday: Regional and Local Constraints

1. Kostka, Genia. "Barriers to the Implementation of Environmental Policies at the Local Level in China." World Bank Group: Development Research Group Environment and Energy Team, Policy Research Working Paper 7016, August 2014.
2. Zhu, Xiao, Lei Zhang, Ran Ran, Arthur P.J. Mol. "Regional Restrictions on Environmental Impact Assessment Approval in China: The Legitimacy of Environmental Authoritarianism." *Journal of Cleaner Production* 92 (2015): 100-108.

Wednesday: Case: Wind Energy

1. Cao, Xun, Andrew Kleit, and Chuyu Liu. "Why Invest in Wind Energy? Career Incentives and Chinese Renewable Energy Politics." *Energy Policy* 99 (December 2016): 120-131.
2. Nahm, Jonas. "Exploiting the Implementation Gap: Policy Divergence and Industrial Upgrading in China's Wind and Solar Sectors." *The China Quarterly*. 231. (2017): 705-727.

Friday: Urban Environmental Politics

1. Tang, Shui-Yan, Carlos Wing-Hung Lo, Kai-Chee Cheung, and Jack Man-Keung Lo. "Institutional Constraints on Environmental Management in Urban China: Environmental Impact Assessment in Guangzhou and Shanghai." *The China Quarterly* 152 (December 1997): 863-874.
2. Chen, Geoffrey C., and Charles Lees. "The New, Green, Urbanization in China: Between Authoritarian Environmentalism and Decentralization." *Chinese Political Science Review* 3 (2018): 212-231.

Week 7: 25 February - 1 March

Monday: Urban Environmental Politics

1. Li, Xiaoyue and Bryan Tilt. "Public Engagements with Smog in Urban China: Knowledge, Trust, and Action." *Environmental Science & Policy* 92 (February 2019): 220-227.
2. Liu, Wenling, and Wang Can. "Low-Carbon Urban Development in China: Policy and Practices." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 291-301. London: Routledge, 2017.

Wednesday: Urban Environmental Politics

1. Johnson, Thomas R. "Municipal Solid Waste Management In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 302-313. London: Routledge, 2017.
2. Schulz, Yvan, and Benjamin Steuer. "Dealing with Discarded e-Devices." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 314-328. London: Routledge, 2017.

Friday: Literature Review Draft Due

Week 8: 4 March - 8 March

Monday: Case: Air Pollution

1. Ahlers, Anna L., and Yongdong Shen. "Breathe Easy? Local Nuances of Authoritarian Environmentalism in China's Battle against Air Pollution." *The China Quarterly* 234 (June 2018): 299-319.
2. Aunan, Kristin, Mette Halskov Hansen, Zhaohui Liu, and Shuxiao Wang. "The Hidden Hazard of Household Air Pollution in Rural China." *Environmental Science & Policy*. 93 (March 2019): 27-33.

Wednesday: Case: Air Pollution

1. Hansen, Mette Halskov, and Zhaohui Liu. "Air Pollution and Grassroots Echoes of 'Ecological Civilization' in Rural China." *The China Quarterly* 234 (June 2018): 320-339.

2. Li, Hongtao, and Rune Svarverud. "When London Hit the Headlines: Historical Analogy and the Chinese Media Discourse on Air Pollution." *The China Quarterly* 234 (June 2018): 357-376.

Friday: Rural China

1. Chen, Huang, Jinxia Wang, and Jikun Huang. "Policy Support, Social Capital, and Farmers' Adaptation to Drought in China." *Global Environmental Change* 24 (January 2014): 193-202.
2. Bluemling, Bettina. "Environmental Policy and Agriculture in China: From Regulation Through Model Emulation to Regulatory Pluralism." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 113-126. London: Routledge, 2017.

Week 9: 11 March - 15 March

Monday: Rural China

1. Coggins, Chris. "Conserving China's Biological Diversity: National Plans, Transnational Projects, Local and Regional Challenges." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 127-143. London: Routledge, 2017.
2. Stein, Susanne, and Heike Hartmann. "Land Degradation and Land-Use Strategies in China's Northern Regions: Soil Conservation, Afforestation, Water Resource Management." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 144-160. London: Routledge, 2017.

Wednesday: Case: Water

1. Moore, Scott M. "Modernisation, Authoritarianism, and the Environment: The Politics of China's South-North Water Transfer Project." *Environmental Politics* 23 no. 6 (2014): 947-964.
2. Nickum, James E., Jia Shaofeng, and Scott Moore. "The Three Red Lines and China's Water Resources Policy in the Twenty-First Century." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 71-82. London: Routledge, 2017.

Friday: Green Movements

1. Lee, Kingsyhon, and Ming-sho Ho. "The Maoming Anti-PX Protest of 2014: An Environmental Movement in Contemporary China." *China Perspectives* 3 (2014): 33-39.
2. Shapiro, Judith. "Evolving Tactics of China's Green Movement." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 48-56. London: Routledge, 2017.

Week 10: 18 March - 22 March

Spring Furlough: No Classes

Week 11: 25 March - 29 March

Monday: Environmental Mobilization

1. Stalley, Phillip, and Dongning Yang. "An Emerging Environmental Movement in China?" *The China Quarterly* 186 (June 2006): 333-356.
2. Deng, Yanhua, and Guobin Yang. "Pollution and Protest in China: Environmental Mobilization in Context." *China Quarterly*. 214. (2013): 302-320.

Wednesday: Transformations

1. Ptackova, Jarmila. "Orchestrated Environmental Migration in Western China." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 223-236. London: Routledge, 2017.

2. Li, Xiaoyue and Bryan Tilt. "Perceptions of Quality of Life and Pollution among China's Urban Middle Class: The Case of Smog in Tangshan." *The China Quarterly* 234 (June 2018): 340-356.

Friday: **Research Paper Draft Due**

Week 12: 1 April - 5 April

Monday: *NGOs*

1. Schwartz, Jonathan. "Environmental NGOs in China: Roles and Limits." *Pacific Affairs* 77 no. 1 (Spring 2004): 28-49.
2. Zhan, Xueyong, and Shui-Yan Tang. "Political Opportunities, Resource Constraints, and Policy Advocacy of Environmental NGOs in China." *Public Administration* 91 no. 2 (June 2013): 381-399.

Wednesday: *Financial Institutions and Business*

1. Kostka, Genia, and Jianghua Zhou. "Government-Business Alliances in State Capitalist Economies: Evidence from Low-Income Markets in China." *Business and Politics* 15 no. 2 (2013): 245-274.
2. Mol, Arthur P.J. "China's Policies on Greening Financial Institutions: Assessment and Outlook." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 208-222. London: Routledge, 2017.

Friday: *Accountability*

1. Gippner, Olivia. "China-EU Relations and Patterns of Interactions on Emission Trading." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 363-375. London: Routledge, 2017.
2. Hu, Tao, and Song Peng. "Environment and Trade." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 331-345. London: Routledge, 2017

Week 13: 8 April - 12 April

Monday: **Spring FTX: No Classes**

Wednesday: Student Presentations

Starting this week, you will submit a journal by each Friday at 1000 based upon our readings and discussions of student projects.

Friday: Student Presentations

Week 14: 15 April - 19 April

Monday: Student Presentations

Wednesday: Student Presentations

Friday: Student Presentations

Week 15: 22 April - 26 April

Monday: **Easter Furlough: No Classes**

Wednesday: Student Presentations

Friday: Student Presentations

Week 16: 29 April - 3 May

Monday: Student Presentations

Wednesday: Student Presentations

Friday: Student Presentations

Final Examination

Our final examination will take place on Saturday, 11 May from 0830 to 1130. Please see "Memorandum 17, SP19 Semester Final Exam Orders to Cadets," emailed to the VMI community on Monday, 7 January, for Institute policies on rescheduling and the like.

Extra Readings of Interest

1. Alberton, Mariachiara. "Public Participation in Environmental Impact Assessment in China: From Regulation to Practice." In Sternfeld, Eva (ed.) *Routledge Handbook of Environmental Policy in China*, 237-246. London: Routledge, 2017.
2. Beyer, Stefanie. "Environmental Law and Policy in the People's Republic of China." *Chinese Journal of International Law* 5 no. 1 (2006): 185-211.
3. Child, John, and Terence Tsai. "The Dynamic Between Firms' Environmental Strategies and Institutional Constraints in Emerging Economies: Evidence from China and Taiwan." *Journal of Management Studies* 42 no. 1 (January 2005): 95-125.
4. Dasgupta, Susmita, Benoit Laplante, Nlandu Mamingi, and Hua Wang. "Inspections, Pollution Prices, and Environmental Performance: Evidence from China." *Ecological Economics* 36 (2001): 487-498.
5. Dean, Judith M., Mary E. Lovely, and Hua Wang. "Are Foreign Investors Attracted to Weak Environmental Regulations?" World Bank Policy Research Working Paper 3505, February 2005.
6. Gilbert, Patricia M. "Harmful Algal Blooms in Asia: An Insidious and Escalating Water Pollution Phenomenon with Effects on Ecological and Human Health." *ASIANetwork Exchange* 21 no.1 (Fall 2013): 52-68.
7. Gilley, Bruce. "Local Governance Pathways to Decarbonization in China and India." *The China Quarterly*. 231. (2017): 728-748.
8. Grumbine, R. Edward, and Jianchu Xu. "Recalibrating China's Environmental Policy: The Next 10 Years." *Biological Conservation* 166 (2013): 287-292.
9. Han, Heejin. "Singapore, a Garden City: Authoritarian Environmentalism in a Developmental State." *Journal of Environment & Development* 26 no. 1 (2017): 3-24.
10. Heggelund, Gørild and Ellen Bruzelius Backer. "China and UN Environmental Policy: Institutional Growth, Learning and Implementation." *International Environmental Agreements* 7 no. 4 (2007): 415-438.
11. Hochstetler, Kathryn, and Genia Kostka. "Wind and Solar Power in Brazil and China: Interests, State-Business Relations, and Policy Outcomes." *Global Environmental Politics* 15 no. 3 (August 2015): 74-94.
12. Li, Tingting, Yong Wang, and Dingtao Zhao. "Environmental Kuznets Curve in China: New Evidence from Dynamic Panel Analysis." *Energy Policy* 91 (2016): 138-147.

13. Li, Yanwei, Joop Koppenjan, and Stefan Verweij “Governing Environmental Conflicts in China: Under what Conditions Do Local Governments Compromise?” *Public Administration* 94 no. 3 (September 2016): 806-822.
14. Liu, Zhu, Dabo Guan, Douglas Crawford-Brown, Qiang Zhang, Kebin He, and Jianguo Liu. “A Low-Carbon Road Map for China.” *Nature* 500 (August 2013): 143-145.
15. Liu, Jianguo, and Jared Diamond. “China’s Environment in a Globalizing World: How China and the Rest of the World Affect Each Other.” *Nature*. 435 (June 2005): 1179-1186.
16. Ortmann, Stephan. “Environmental Governance under Authoritarian Rule Singapore and China.” Southeast Asia Research Centre (SEARC). Working Paper Series No. 189 (2016).
17. Ross, Lester. “The Politics of Environmental Policy in the People’s Republic of China.” *The Policy Journal* 20 no. 4 (1992): 629-642.
18. Ryan, Erin. “The Elaborate Paper Tiger: Environmental Enforcement and the Rule of Law in China.” *Duke Environmental Law & Policy Forum* 24 (Fall 2013): 183-239. Lewis & Clark Law School Legal Studies Research Paper No. 2014-11.
19. Shahar, Dan Coby. “Rejecting Eco-Authoritarianism, Again.” *Environmental Values*, 24 no. 3 (June 2015): 345-366.
20. Steinhardt, H. Christoph, and Fengshi Wu. “In the Name of the Public: Environmental Protest and the Changing Landscape of Popular Contention in China.” *The China Journal* 75 (January 2016): 61-82.
21. van Rooj, Benjamin, Qiaoqiao Zhu, Li Na, and Wang Qiliang. “Centralizing Trends and Pollution Law Enforcement in China.” *The China Quarterly* 231 (September 2017): 583-606.
22. Wang, Danny T., and Wendy Y. Chen. “Foreign Direct Investment, Institutional Development, and Environmental Externalities: Evidence from China.” *Journal of Environmental Management* 135 (2014): 81-90.

Appendix A: Work for Grade Policy:

Development of the spirit as well as the skills of academic inquiry is central to the mission of VMI's Academic Program. As a community of scholars, posing questions and seeking answers, we invariably consult and build upon the ideas, discoveries, and products of others who have wrestled with related issues and problems before us. We are obligated ethically and in many instances legally to acknowledge the sources of all borrowed material that we use in our own work. This is the case whether we find that material in conventional resources, such as the library or cyberspace, or discover it in other places like conversations with our peers.

Academic integrity requires the full and proper documentation of any material that is not original with us. It is therefore a matter of honor. To misrepresent someone else's words, ideas, images, data, or other intellectual property as one's own is stealing, lying, and cheating all at once.

Because the offense of improper or incomplete documentation is so serious, and the consequences so potentially grave, the following policies regarding work for grade have been adopted as a guide to cadets and faculty in upholding the Honor Code under which all VMI cadets live.

Cadets' responsibilities

"Work for grade" is defined as any work presented to an instructor for a formal grade or undertaken in satisfaction of a requirement for successful completion of a course or degree requirement. All work submitted for grade is considered the cadet's own work. "Cadet's own work" means that he or she has composed the work from his or her general accumulation of knowledge and skill except as clearly and fully documented and that it has been composed especially for the current assignment. No work previously submitted in any course at VMI or elsewhere will be resubmitted or reformatted for submission in a current course without the specific approval of the instructor.

In all work for grade, failure to distinguish between the cadet's own work and ideas and the work and ideas of others is known as plagiarism. Proper documentation clearly and fully identifies the sources of all borrowed ideas, quotations, or other assistance. The cadet is referred to the VMI-authorized handbook for rules concerning quotations, paraphrases, and documentation.

In all written work for grade, the cadet must include the words "HELP RECEIVED" conspicuously on the document, and he or she must then do one of two things: (1) state "none," meaning that no help was received except as documented in the work; or (2) explain in detail the nature of the help received. In oral work for grade, the cadet must make the same declaration before beginning the presentation. Admission of help received may result in a lower grade but will not result in prosecution for an honor violation.

Cadets are prohibited from discussing the contents of a quiz/exam until it is returned to them or final course grades are posted. This enjoinder does not imply that any inadvertent expression or behavior that might indicate one's feeling about the test should be considered a breach of honor. The real issue is whether cadets received information, not available to everyone else in the class, which would give them an unfair advantage. If a cadet inadvertently gives or receives information, the incident must be reported to the professor and the Honor Court.

Each cadet bears the responsibility for familiarizing himself or herself thoroughly with the policies stated in this section, with any supplementary statement regarding work for grade expressed by the academic department in which he or she is taking a course, and with any special conditions provided in writing by the professor for a given assignment. If there is any doubt or uncertainty about the correct interpretation of a policy, the cadet should consult the instructor of the course. There should be no confusion, however, on the basic principle that it is never acceptable to submit someone else's work, written or otherwise, formally graded or not, as one's own.

The violation by a cadet of any of these policies will, if he or she is found guilty by the Honor Court, result in his or her being dismissed from VMI. Neither ignorance nor professed confusion about the correct interpretation of these policies is an excuse.

Appendix B: Department of International Studies & Political Science Work for Grade Policy:

Work for Grade in this department is generally of the following types.

1. Written quizzes, tests, or examinations
2. Book reviews
3. Research Papers, policy memoranda, briefing papers, and discourse analysis– identification and analysis of the critical differences in the findings and opinions of scholars on issues of interest to the discipline.

Cadets are permitted and encouraged to study with their peers to prepare for quizzes, tests and exams. However, when a cadet takes either written or oral quizzes, tests, and examinations, answers must be his/her own work without help from any other source including notes or consultation with others.

In the case of book reviews, research and other papers, as described in “2” and “3” above, research and composing of such works must be done by the cadet alone. Cadets are permitted to use spell and grammar-checking facilities.

IS cadets are encouraged to make use of all VMI tutoring services to receive critical comments (defined above). Cadets who do so and mark ”Help Received” will not receive a lower grade on an assignment. Cadets are also permitted to seek critical comments on their written work from their peers. However, proof-reading and editing (defined above) of a cadet’s written work is not permitted.

Any exceptions to these rules, including the use of tutors, collaboration among cadets, and the use of computer style, spell and grammar checkers; must be explained in writing by the course instructor. Instructors are at liberty to stipulate exceptions only with the written approval of their department head.

If you have any questions about the application of these rules, consult your instructor. Do not leave anything to chance.

Colonel Dennis M. Foster, Professor and Head

Appendix C: Chicago Manual of Style Formatting Guidelines Guidelines taken from BG Brower’s “Grand Strategy in the 20th Century” course.

For more information, please see The Chicago Manual of Style’s website: http://www.chicagomanualofstyle.org/tools_citationguide.html

Bibliographic Entry Formats

Judis, John B. “Obama and American Power,” *The New Republic* (March 28, 2011). <http://www.tnr.com/print/article/world-and-american-power>

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Additional Notes

- Full footnote or endnote citation is required the first time the source is cited (e.g. Joseph S. Nye, *Soft Power: The Means to Success in World Politics* (New York: 2004), 52.)
- Use *Ibid.* with appropriate page number if citing the source in the immediately preceding footnote or endnote (e.g. *Ibid.*, 33).
- Use author’s last name and page number when using previously cited sources (e.g. Nye, 57.)